

(Investor) Settlement Instruction (SI/ISI) Form (投資者)交收指示表格

Account Name(s) 賬戶名稱

Account No. 賬戶號碼

Instruction Type 指示類別

☐ Transfer In 轉入

☐ Transfer Out 轉出

Products Market 產品市場	Expected Value/ Settlement Date 預期執行/交收日期
<input type="checkbox"/> HK 香港 <input type="checkbox"/> Global 環球 <input type="checkbox"/> Bonds 債券	

I/we hereby instruct your company to ACCEPTS the following transfer instructions for securities/bonds related to my/ our securities account held with your company, with details as follows: 本人/吾等指示貴公司接納關於本人/吾等證券賬戶之證券/債券轉移指示, 詳列如下:

Name of Products 產品名稱		Product Code 產品編號	Quantity 數量	Payment Instruction 付款指示 #	Fees (For internal use)
1				<input type="checkbox"/> FOP <input type="checkbox"/> DVP	
2				<input type="checkbox"/> FOP <input type="checkbox"/> DVP	
3				<input type="checkbox"/> FOP <input type="checkbox"/> DVP	
4				<input type="checkbox"/> FOP <input type="checkbox"/> DVP	
5				<input type="checkbox"/> FOP <input type="checkbox"/> DVP	
# FOP=Free of Payment (毋須付款交收), DVP= Delivery versus Payment (貨銀對付交收)					Total:

Counterparty's information 對手資訊	
Name of Counterparty 交收對手名稱	Counterparty's CCASS Participant ID 交收對手中央結算編號 / DTC Number
Counterparty Contact 交收對手聯絡電話	Counterparty E-mail Address 交收對手郵箱
Counterparty Securities Account Name 交收對手證券戶口名稱	Counterparty Securities Account No. 交收對手證券戶口號碼

Settlement details 交收資訊	
Hong Kong 香港	Contacts 聯絡
Victory Securities Company Limited 勝利證券有限公司 CCASS Participant ID 中央結算編號 B01445	Clearing Department Tel: 2523 3133 Email: clearing@victorysec.com.hk

Note 注意
1. Please tick where applicable. 请在適當的地方加上剔號。 2. The transfer of securities must be to an account of same name, i.e. no change of beneficial ownership. 證券的轉移必須以相同名稱的戶口,即實質權益並無變動。 3. Please note that your Securities Account Name and/or Number will be provided to the counterparty for settlement. 請注意您在本公司的證券/戶口姓名及號碼將會交予對手以便執行交收指示。 4. Please refer to the Securities Services Charges leaflet for the transaction charges involved, if any. 有關上述交收的手續費請參閱服務收費表。 (https://sec.victorysec.com.hk/zh-hk/help/fee)

Declaration聲明

I/We confirm there is no change of beneficial ownership through effecting this settlement instruction. I/we am/are willing to assume full responsibility of this instruction. Please debit my/our above account for payment of all fees, charges (if any) in consideration of the services rendered and expenses incurred.
本人/吾等確認上述證券的實益擁有權不會因進行本交收指示而更改。本人/吾等願意對以上指示承擔所有責任。請從本人/吾等於貴公司戶口內扣除本人/吾等現授權因提供服務而產生所需之費用、收費及支出。

For Internal Use Only			
For CS/ Compliance Department		For Clearing Department	
Verified Signature:	Approved by:	Processed by:	Approved by:
Value/ Settlement Date			

Signature(s) of Account Holder(s)戶口持有人簽署